CALIFORNIA FORM 700 FAIR POLITICAL PRACTICES COMMISSION A PUBLIC DOCUMENT

STATEMENT OF ECONOMIC INTERESTS COVER PAGE



Please type or print in ink. NAME OF FILER (LAST) (FIRST) (MIDDLE) HAROLD LUBIN BERTRAM 1. Office, Agency, or Court Agency Name CALIFORNIA INSTITUTE OF REGENERATIVE MEDICINE (CIRM) Division, Board, Department, District, if applicable INDEPENDENT CITIZENS OVERSIGHT COMMITTEE (ICOC) ICOC HEMBER ▶ If filing for multiple positions, list below or on an attachment. Agency: ___ Position: _ 2. Jurisdiction of Office (Check at least one box) State ☐ Judge (Statewide Jurisdiction) ☐ Multi-County ____ County of ___ City of ___ Other _ 3. Type of Statement (Check at least one box) Annual: The period covered is January 1, 2010, through December 31, Leaving Office: Date Left ____/___ 2010. (Check one) The period covered is January 1, 2010, through the date of The period covered is _____/___, through December 31, leaving office. Assuming Office: Date Ol , 27, 11 O The period covered is _____/___, through the date of leaving office. Candidate: Election Year _____ Office sought, if different than Part 1: ___ 4. Schedule Summary Check applicable schedules or "None." ▶ Total number of pages including this cover page: _ Schedule A-1 - Investments - schedule attached Schedule C - Income, Loans, & Business Positions - schedule attached Schedule A-2 - Investments - schedule attached Schedule D - Income - Gifts - schedule attached Schedule B - Real Property – schedule attached Schedule E - Income - Gifts - Travel Payments - schedule attached -or-None - No reportable interests on any schedule 5. Verification MAILING ADDRESS STREET CITY STATE ZIP CODE OAKLAND: CA I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best or my knowledge the information contained herein and in any attached schedules is true and complete. I acknowledge this is a public document. I certify under penalty of perjury under the laws of the State of California that the foregoing is true 04-04-11 Date Signed __ Signature _

SCHEDULE A-1 Investments

Stocks, Bonds, and Other Interests (Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

	FORM 700 ACTICES COMMISSION
Name	
BERTRAM	LUBIN, MD

► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
AT8T	
GENERAL DESCRIPTION OF BUSINESS ACTIVITY	GENERAL DESCRIPTION OF BUSINESS ACTIVITY
FAIR MARKET VALUE \$2,000 - \$10,000	FAIR MARKET VALUE \$2,000 - \$10,000
► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
CONCAST GENERAL DESCRIPTION OF BUSINESS ACTIVITY	GENERAL DESCRIPTION OF BUSINESS ACTIVITY
FAIR MARKET VALUE \$\times \\$2,000 - \\$10,000	FAIR MARKET VALUE \$2,000 - \$10,000 \$10,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000 NATURE OF INVESTMENT Stock Other (Describe) Partnership Income Received of \$0 - \$499 Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE: /	IF APPLICABLE, LIST DATE: //_10/
► NAME OF BUSINESS ENTITY	► NAME OF BUSINESS ENTITY
GENERAL DESCRIPTION OF BUSINESS ACTIVITY	GENERAL DESCRIPTION OF BUSINESS ACTIVITY
FAIR MARKET VALUE \$2,000 - \$10,000 \$10,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000	FAIR MARKET VALUE \$2,000 - \$10,000 \$10,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000
NATURE OF INVESTMENT Stock Other	NATURE OF INVESTMENT Stock Other
(Describe) Partnership O Income Received of \$0 - \$499 Income Received of \$500 or More (Report on Schedule C)	☐ Partnership ☐ Income Received of \$0 - \$499 ☐ Income Received of \$500 or More (Report on Schedule C)
IF APPLICABLE, LIST DATE:	IF APPLICABLE, LIST DATE:
	/ / 10 / / 10
ACQUIRED DISPOSED	ACQUIRED DISPOSED
Comments:	

SCHEDULE C Income, Loans, & Business Positions

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

BERTRAM LUBIN, MD

(Other than Gifts and Travel Payments)

NAME OF SOURCE OF INCOME	▶ 1. INCOME RECEIVED
	NAME OF SOURCE OF INCOME
_	
ADDRESS (Business Address Acceptable)	ADDRESS (Business Address Acceptable)
BUSINESS ACTIVITY, IF ANY, OF SOURCE	BUSINESS ACTIVITY, IF ANY, OF SOURCE
EMPLOYER	
YOUR BUSINESS POSITION	YOUR BUSINESS POSITION
PRESIDENT & CHIEF EXECUTIVE OFFICER	
GROSS INCOME RECEIVED	GROSS INCOME RECEIVED
\$500 - \$1,000	\$500 - \$1,000
□ \$10,001 - \$100,000 ☑ OVER \$100,000	\$10,001 - \$100,000 OVER \$100,000
CONSIDERATION FOR WHICH INCOME WAS RECEIVED	CONSIDERATION FOR WHICH INCOME WAS RECEIVED
	Salary Spouse's or registered domestic partner's income
Loan repayment Partnership	☐ Loan repayment ☐ Partnership
Sale of	Sale of
(Property, car, boat, etc.)	(Property, car, boat, etc.)
Commission or Rental Income, list each source of \$10,000 or more	Commission or Rental Income, list each source of \$10,000 or more
Other (<i>Describe</i>)	Other(Describe)
2. LOANS RECEIVED OR OUTSTANDING DURING THE REPORTING PER	IOD
* You are not required to report loans from commercial	lending institutions, or any indebtedness created as par
of a retail installment or credit card transaction, made	in the lender's regular course of business on terms
available to members of the public without regard to	vour official status Personal loans and loans received
	disclosed as fellows:
not in a lender's regular course of business must be	disclosed as follows:
not in a lender's regular course of business must be	disclosed as follows: INTEREST RATE TERM (Months/Years)
	disclosed as follows: INTEREST RATE TERM (Months/Years)
NAME OF LENDER*	disclosed as follows:
	disclosed as follows: INTEREST RATE TERM (Months/Years) ———————————————————————————————————
NAME OF LENDER*	disclosed as follows: INTEREST RATE TERM (Months/Years)
NAME OF LENDER* ADDRESS (Business Address Acceptable)	disclosed as follows: INTEREST RATE TERM (Months/Years) ———————————————————————————————————
NAME OF LENDER* ADDRESS (Business Address Acceptable)	disclosed as follows: INTEREST RATE TERM (Months/Years) ———————————————————————————————————
NAME OF LENDER* ADDRESS (Business Address Acceptable) BUSINESS ACTIVITY, IF ANY, OF LENDER	disclosed as follows: INTEREST RATE TERM (Months/Years) ———————————————————————————————————
ADDRESS (Business Address Acceptable) BUSINESS ACTIVITY, IF ANY, OF LENDER HIGHEST BALANCE DURING REPORTING PERIOD	disclosed as follows: INTEREST RATE TERM (Months/Years) ———————————————————————————————————
ADDRESS (Business Address Acceptable) BUSINESS ACTIVITY, IF ANY, OF LENDER HIGHEST BALANCE DURING REPORTING PERIOD \$500 - \$1,000	disclosed as follows: INTEREST RATE TERM (Months/Years) ———————————————————————————————————
ADDRESS (Business Address Acceptable) BUSINESS ACTIVITY, IF ANY, OF LENDER HIGHEST BALANCE DURING REPORTING PERIOD \$500 - \$1,000 \$1,001 - \$10,000	INTEREST RATE TERM (Months/Years)
ADDRESS (Business Address Acceptable) BUSINESS ACTIVITY, IF ANY, OF LENDER HIGHEST BALANCE DURING REPORTING PERIOD \$500 - \$1,000 \$1,001 - \$10,000 \$10,001 - \$100,000	disclosed as follows: INTEREST RATE TERM (Months/Years) ———————————————————————————————————
ADDRESS (Business Address Acceptable) BUSINESS ACTIVITY, IF ANY, OF LENDER HIGHEST BALANCE DURING REPORTING PERIOD \$500 - \$1,000 \$1,001 - \$10,000 \$10,001 - \$100,000	disclosed as follows: INTEREST RATE TERM (Months/Years) ———————————————————————————————————